

Special Terms and Conditions for Global Engagements where a WTS Global member firm in the Czech Republic is involved

The following are Special Terms and Conditions (“Special Terms and Conditions”) as defined in WTS Global’s Engagement General Terms and Conditions (General Terms & Conditions).

These Special Terms and Conditions apply to Global Engagements where our WTS Global Member Firm in the Czech Republic is involved as a Participating Firm.

To the extent there is any inconsistency between these Special Terms and Conditions and WTS Global’s General terms and conditions for global engagements (“General Terms and Conditions”), these Special Terms and Conditions will prevail.

1 Definitions

1.1 For the purposes of these Special Terms and Conditions, the definitions set out in the General Terms & Conditions apply.

1.2 In addition the following definitions apply to these Special Terms & Conditions:

“WTS Global Member Firm in the Czech Republic” means WTS Alfery s.r.o., Id.-No: 270 78 001.

2 Identification of Client

2.1 In order to comply with applicable Anti-Money Laundering regulations enacted to comply with the European Union’s 6th Anti-Money Laundering Directive, in particular the Czech Act No. 253/2008 Coll., on Certain Measures against the Legalization of the Proceeds of Criminal Activity and the Financing of Terrorism, as amended, WTS Global Member Firm in the Czech Republic must abide, in any case, by the obligations established in the aforementioned legal regulations, in particular it is required to confirm the identity of the client, and where applicable its directors and the beneficial owners, as well as apply client due diligence where applicable. To this end, the following information will be requested:

2.1.1. An excerpt from the Chamber of Commerce, Commercial register or similar register of the End Client;

2.1.2. A copy Identity card or passport data page of an authorized representative (mentioned on the excerpt or via a Power of Attorney) of the End Client;

2.1.3. A corporate structure chart of the End Client;

2.1.4. An excerpt or proof of registration of the Ultimate Beneficial Owner(s) (hereinafter referred to as “UBO” or UBOs) in a register of the End Client;

2.1.5. Identification of the UBO(s) (preferably a copy of each UBO’s identity card or passport data page) (25% or more control or shares) however, only their given full name and date of birth will also suffice together with their place of residence and country; as well as Politically Exposed Persons (hereinafter referred to as “PEP”) of the End Client;

2.1.6. In the event there is no UBO, then identification of the Board of Directors (preferably a copy of each board member’s identity card or passport data page), however, only

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their given full name and date of birth will suffice together with their place of residence and country; as well as PEP

- 2.1.7. An additional Yes/No answer is required for the following questions:
 - 2.1.7.1. Does the End Client globally comply with his/her tax obligations?
 - 2.1.7.2. Has your End Client ever been refused by a service provider for a similar kind of transaction?
- 2.2 Depending on the information received, WTS Global Member Firm in the Czech Republic may have to conduct an extra (enhanced) research and ask further questions in order to be compliant with the Czech law and policies as well as to be able to make an accurate risk analysis.
- 2.3 Where WTS Global Member Firm in the Czech Republic acts as a Participating Firm, the steps that have to be taken to confirm the identity of the Leading Firm's client (End Client), directors and beneficial owners may differ depending on the jurisdiction of the client and on its profile.
- 2.4 Likewise, WTS Global Member Firm in the Czech Republic undertakes to immediately notify the Leading Firm in writing if, during the course of providing the service to the client, any event or transaction is observed, regardless of its amount, which, by its nature, may be related to money laundering or financing of terrorism, in order to carry out the corresponding analysis and, if necessary, make the respective communication to FAÚ (Financial Intelligence Unit in the Czech Republic).